

Shropshire Council  
Legal and Democratic Services  
Shirehall  
Abbey Foregate  
Shrewsbury  
SY2 6ND

Date: 12 July 2023  
My Ref:  
Your Ref:

**Committee:  
Audit Committee**

**Date:** Thursday, 20 July 2023  
**Time:** 10.00 am  
**Venue:** Shrewsbury/Oswestry Room, Shirehall, Abbey Foregate,  
Shrewsbury, Shropshire, SY2 6ND

You are requested to attend the above meeting. The Agenda is attached

There will be some access to the meeting room for members of the press and public, but this will be limited. If you wish to attend the meeting please email [democracy@shropshire.gov.uk](mailto:democracy@shropshire.gov.uk) to check that a seat will be available for you.

Please click [here](#) to view the livestream of the meeting on the date and time stated on the agenda

The recording of the event will also be made available shortly after the meeting on the Shropshire Council Youtube Channel [Here](#)

Tim Collard  
Assistant Director – Legal and Governance

**Members of Audit Committee**

Simon Harris (Vice Chairman)  
Nigel Lumby  
Brian Williams (Chairman)

Roger Evans  
Rosemary Dartnall

Independent Member: Jim Arnold

Your Committee Officer is:

**Michelle Dulson** Committee Officer  
Tel: 01743 257719  
Email: [michelle.dulson@shropshire.gov.uk](mailto:michelle.dulson@shropshire.gov.uk)

# AGENDA

## 1 Apologies for Absence / Notification of Substitutes

## 2 Disclosable Pecuniary Interests

Members are reminded that they must declare their disclosable pecuniary interests and other registrable or non-registrable interests in any matter being considered at the meeting as set out in Appendix B of the Members' Code of Conduct and consider if they should leave the room prior to the item being considered. Further advice can be sought from the Monitoring Officer in advance of the meeting.

## 3 Minutes of the previous meeting held on the 22 June 2023 (Pages 1 - 10)

The Minutes of the meeting held on the 22 June 2023 are attached for confirmation.

Contact Michelle Dulson (01743) 257719

## 4 Public Questions

To receive any questions from the public, notice of which has been given in accordance with Procedure Rule 14. The deadline for this meeting is 5pm on Friday 14 July 2023.

## 5 Member Questions

To receive any questions of which Members of the Council have given notice. The deadline for this meeting is 5pm on Friday 14 July 2023.

## 6 Second line assurance: Annual Treasury Report (Pages 11 - 22)

The report of the Assistant Director Finance and Technology (Deputy Section 151 Officer) is attached.

Contact: Ben Jay (01743) 250691

## 7 Third line assurance: Internal Audit Performance Report (Pages 23 - 40)

The report of the Head of Policy and Governance is attached.

Contact: Barry Hanson 07990 086409

## 8 Third line assurance: Annual Assurance report of Audit Committee to Council 2022/23 (Pages 41 - 56)

The report of the Section 151 Officer is attached.

Contact: James Walton (01743) 258915

**9 Third line assurance: Internal Audit Annual Report 2022/23 (Pages 57 - 80)**

The report of the Head of Policy and Governance is attached.  
Contact: Barry Hanson 07990 086409

**10 Third line assurance: Annual review of Internal Audit, Quality Assurance and Improvement Programme (QAIP) 2022/23 (Pages 81 - 92)**

The report of the Section 151 Officer is attached.  
Contact: James Walton (01743) 258915

**11 Third line assurance: External Audit, Audit progress report and sector update**

The Engagement Lead will give a verbal update.  
Contact: Grant Patterson (0121) 232 5296

**12 Third line assurance: Pension Fund Audit Plan (Pages 93 - 114)**

The report of the Engagement Lead is attached.  
Contact: Grant Patterson (0121) 232 5296

**13 First line assurance: Dog Wardens Management Update (Pages 115 - 138)**

The report of the Interim Assistant Director, Highways and Transport is attached.  
Contact: Andy Wilde (01743) 255472

**14 Second line assurance: Annual Whistleblowing report (Pages 139 - 144)**

The report of the Assistant Director of Workforce is attached.  
Contact: Sam Williams (01743) 252817

**15 Date and Time of Next Meeting**

The next meeting of the Audit Committee will be held on Thursday 28 September 2023 at 10.00am.

**16 Exclusion of Press and Public**

To RESOLVE that in accordance with the provision of Schedule 12A of the Local Government Act 1972, Section 5 of the Local Authorities (Executive Arrangements)(Meetings and Access to Information)(England) Regulations and Paragraphs 2, 3 and 7 of the Council's Access to Information Rules, the public and press be excluded during consideration of the following items.

**17 First line assurance: Highways Contract Management Update (Exempted by Category 5) (Pages 145 - 178)**

The report of the Interim Assistant Director, Highways and Transport is attached.  
Contact: Andy Wilde (01743) 255472

**18 First line assurance: Payroll Management Update (Exempted by categories 2, 3 and 7) (Pages 179 - 184)**

The report of the Assistant Director of Workforce is attached.  
Contact: Sam Williams (01743) 252817

**19 Third line assurance: Fraud, special investigation and RIPA updates (Exempted by Categories 1, 2, 3 and 7) (Pages 185 - 188)**

The report of the Principal Auditor is attached.  
Contact: Katie Williams 07584 217067